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Patent

1645

Attorney's Docket No. 033052-004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Zhang et al.

Application No.: 09/892,327

Filed: June 26, 2001

For:

Novel Compounds Possessing

Antibacterial, Antifungal or Antitumor

Activity

Group Art Unit: 1645

Examiner: Unassigned

APR 0 4 2003
APR 0 4 2003
ECH CENTER 1600/2900

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

[X] The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.

[] A check in the amount of \$ 180.00 is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404 Alexandria, Virginia 22313-1404

(650)622-2300

Date: March 31, 2003

Julie L. Heinrich

Registration No. 48,070

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Patent Attorney's Docket No. <u>033052-004</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Zhang et al.) Group Art Unit: 1645
Application No.: 09/892,327) Examiner: Unassigned
Filed: June 26, 2001)
For: Novel Compounds Possessing Antibacterial, Antifungal or Antitumor Activity)))

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed and listed below:

U.S. Patents:

1.	4,107,288	Oppenheim et al.	08/15/1978
2.	5,145,684	Liversidge et al.	09/08/1992
3.	5,607,915	Patton	03/04/1997

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01 FC:1806

180.00 OP

Articles/Reviews:

- 4. Evans, D.A., et al., "The Asymmetric Synthesis of α -Amino Acids. Electrophilic Azidation of Chiral Imide Enolates, a Practical Approach to the Synthesis of (R)- and (S)- α -Azido Carboxylic Acids", J. Am. Chem. Soc., Vol. 112, pp. 4011-4030 (1990).
- 5. Gursky, G.V., et al., "Synthetic Sequence-Specific Ligands", Cold Springs Harbor Symposia on Quantitative Biology, Vol. XLVII, pp. 367-377 (1983).
- 6. March, J., Advanced Organic Chemistry, 4th Ed., (Wiley, John P. & Sons, NY:NY), Chapter 4, (1992).
- 7. Miller, R., et al., "A Convenient Synthesis of Pyrrole-2,5-dicarboxaldehyde", Acta. Chem. Scand. B, Vol. 35, pp. 303-304 (1981).
- 8. Pu, Y., et al., "Synthesis and Acylation of Salts of L-Threonine β -Lactone: A Route to β Lactone Antibiotics", J. Org. Chem., Vol. 56, pp. 1280-1283 (1991).
- 9. Sandven, P., "Detection of Fluconazole-Resistant *Candida* Strains by a Disc Diffusion Screening Test", *J. of Clin. Microbiol.*, Vol. 37, No. 12, pp. 3856-3859 (1999).
- 10. Williams, R.M., et al., "Asymmetric Synthesis of Monosubstituted and α,α -Disubstituted α -Amino Acids via Diastereoselective Glycine Enolate Alkylations", *J. Amer. Chem. Soc.*, Vol. 113, pp. 9276-9286 (1991).

A fee in the amount of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed.

While this Information Disclosure Statement may contain "material" information pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" to the invention disclosed and claimed in the above-referenced application unless specifically designated as such.

Applicants specifically reserve the right, where appropriate, to antedate any such reference by the appropriate showing under 37 C.F.R. § 1.131 and § 1.608, or any other appropriate means.

Information Disclosure Statement Application No. <u>09/892,327</u> Attorney's Docket No. 033052-004 Page 3

To assist the Examiner, the documents listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

By:

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: March 31, 2003

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